

**THE UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS**

ALLSTATE INSURANCE COMPANY and
ALLSTATE LIFE INSURANCE COMPANY,

Plaintiffs,

v.

AMERIPRISE FINANCIAL SERVICES, INC.,

Defendant.

Case No. 17-CV-5826

Honorable Steven C. Seeger

Magistrate Judge Young B. Kim

INDEX OF PLAINTIFFS' EXHIBITS TO RULE 56.1 STATEMENT

Ex. #	Description	Bates/Identifier	Confidentiality
1.	T. Klink Dep Excerpts	34, 63, 75-76, 133-134, 146-147, 149-154, 194, 283-287	Confidential
2.	H. Dougherty Dep Excerpts	33-34, 36, 50, 66-71	
3.	L. McElroy Dep Excerpts	29-32, 38	
4.	R. Maciejewski Dep Excerpts	22-27, 36-37	
5.	Allstate L2000S Exclusive Financial Specialist Independent Contractor Agreement - Group Exhibit	AllstateAFS258663-66, 258724-32, 260327-328, 190895-905, 258755-767, 258866-76, 15739-50, 258903-913, 258944-954, 260261-326, 1-11, 10728-10739	Confidential
6.	H. Schmidt Dep Excerpts	245-249	
7.	T. Francy Dep Excerpts	99	
8.	B. Guntli Dep Excerpts	84-86	

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9.	Olvera 11-21-17 email to Cardinal re Due Diligence Call Simon	AFSI0029041-55	Confidential
10.	B. Cardinal Dep Excerpts	104-106	Confidential
11.	J. Hudson Dep Excerpts	79, 160-164	Confidential
12.	S. Cohen Dep Excerpts	15-20, 60-61, 116-118, 151, 154-155, 167-169, 190	Confidential
13.	M. Dave Dep Excerpts	33-36, 53, 56-57, 75-76, 83-84, 96-98	Confidential
14.	S. George Dep Excerpts	33-34, 36-37, 46-47, 75, 120, 200, 209-211, 213, 221-222, 228	Confidential
15.	M. Vinson 30(b)(6) Dep Excerpts	23-24, 43-44, 46-47, 49, 56-57, 74-76, 88-90, 101-102, 121, 147-153	Confidential
16.	A. Mulvihill Dep Excerpts	15-16, 20	Confidential
17.	Ameriprise Insurance Experienced Advisor Recruiting Partner Training	Crocket Dep Ex 31 AFSI31927-31972	Confidential
18.	Ameriprise iEAR AFA Recruiting Director Presentation, Sean George	George Dep Ex 3 AFSI32794-32803	Confidential
19.	Ameriprise Insurance Recruiting at Ameriprise Presentation	George Dep Ex 4 AFSI31216-31264	Confidential
20.	Ameriprise Insurance EAR Due Diligence Primer Presentation	George Dep Ex 5 AFSI26694-26727	Confidential
21.	Ameriprise Insurance Firms Recruiting List	George Dep Ex 13 AFSI31317-18	Confidential
22.	Stillwell Pre-Transition Planning	AFSI12141-43	Confidential
23.	D. McGill Dep Excerpts	244	Confidential
24.	Due Diligence Call Recap Tony Valencia	Dep Ex 9A AFSI27593-27599	Confidential
25.	Due Diligence Summary for Al Kulig and Eric Lowman	Mostrom Dep Ex. 9B AFSI27819-27828	Confidential
26.	C. Mostrom Dep Excerpts	34-35, 40, 44-45, 47, 52, 66-67, 77-79, 136, 147-149, 228-229	Confidential
27.	Transition Guide	McGill Dep Ex. 133 AMP481-540	Confidential

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28.	Doren 6-25-15 email to North re Stillwell Governance Committee Approval	Doren Dep Ex 106 AMP4839-4843	Confidential
29.	Taubman 1-25-17 email to McGill re L2000 Contract	S. Taubman Dep Ex 26 AMP-Taubman1165-1180	Confidential
30.	Brecher 6-29-15 email to Cohen re Update and Next Steps for Stillwell	North Dep Ex 78 AMP4818	Confidential
31.	Cohen 7-2-15 email to Stillwell re Stillwell pre-transition planning	Cohen Dep Ex 1 Stillwell966-967	
32.	Minton 2-8-17 email to Cardinal re legal counsel	McGill Dep Ex 158 AMPTaubman2306-2307	Confidential
33.	Executive Summaries Group Exhibit	AFSI9894-9905, 10004-13, 10044-1051	Confidential
34.	Minton 10-5-17 email to Casper re Scott Taubman Transition Call Summary	Mostrom Dep Ex 6 AFSI8832-8836	Confidential
35.	Cohen 7-2-15 email to Stillwell re Stillwell Pre-Transition Planning	Mostrom Dep Ex 7 Stillwell966-967	
36.	Minton 2-13-17 email to Casper re Taubman transition	Hudson Dep Ex 164 AMP-Taubman1330-1418	Confidential
37.	Taubman 1-23-17 email to McGill re L2000 Contract and Supplement	Vinson Dep Ex 15 AMPTaubman1165-1180	Confidential
38.	Stillwell 1-30-15 email to North re commission statements, contracts, agreement	Vinson Dep Ex 16 AFSI23869-23880	Confidential
39.	AAG Offer Parameters	Vinson Dep Ex 13 AFSI33484-33488, 38620-51	Confidential
40.	Cardinal 8-4-16 email to Taubman re due diligence call summary	McGill Dep Ex 137 AMPTaubman599-601	Confidential
41.	Cardinal 11-1-16 email re Financial Statements	Schmidt Dep Ex 19 AFSI123	Confidential
42.	Declaration of Henry Schmidt	Schmidt Dep Ex 22 ECF29-3	
43.	Stillwell Executive Summary	AFSI9662-9673	Confidential
44.	Francy 8-26-15 email to Cohen re Jeff's signature	Cohen Dep Ex 11 Stillwell1292, 1297	

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45.	Stillwell 8-28-15 email to Cohen re final transition call	Cohen Dep Ex 15 Stillwell1360	
46.	George 2-2-16 email to Schulte re Deroche GIUL quotes	AFSI39169-39171	Confidential
47.	Stillwell 8-27-15 email to Cohen re GMAC/UF	Doren Dep Ex 108 AMP3823-3833	Confidential
48.	North 8-6-15 email to Williams et al re EAR Jeff Stillwell Update	North Dep Ex 84 AMP3873	Confidential
49.	Transition Plans Group Exhibit	Hudson Dep Ex 160 AFSI8699-8710 Vnson Dep Ex 10, AFSI7512	Confidential
50.	Reinert 3-7-14 email re Follow up	AFSI13645	Confidential
51.	Kulig 10-11-13 email to Moen re Lowman & Kulig	AFSI27363-64	Confidential
52.	Rodger 1-22-16 email to Silva re Trahan production	AFSI39297-299	Confidential
53.	Allstate Database Screenshot	AFSI262512, 262515, 262520, 262521, 262527-9	Confidential
54.	Smith 8-4-15 email to Francy re Transition call recap Stillwell	Cohen Dep Ex 5 Stillwell1021-1088	
55.	Steinbach 2-23-16 email to Trahan re Transition recap	Mostrom Dep Ex 5 AFSI23146-23149	Confidential
56.	Cohen 8-27-15 email to Stillwell re GMAC/U4	Cohen Dep Ex 12 Stillwell1314	Confidential
57.	North 7-13-15 email to Doren re Stillwell Update	North Dep Ex 79 AMP4763	Confidential
58.	North 7-22-15 email to Cohen re follow up with Stillwell today	North Dep Ex 81 AMP5092	Confidential
59.	Schulte 2-5-16 email to Trahan re Deroche GIUL quotes	AFSI39585-87	Confidential
60.	Cohen 9-8-15 email to Steiner re Stillwell DI case	AFSI25102	Confidential
61.	North 8-28-15 transition call email to Doren	AFSI24502-04	Confidential
62.	Stillwell 8-27-15 email to Cohen re GMAC/U4	AFSI24580-89	Confidential
63.	AFG EAR Governance Request Stillwell	AFSI10088	Confidential
64.	Brecher 8-27-15 email to North re Adam Kay	Smith Dep Ex 112 AMP3784-3792	Confidential
65.	Jeffrey Stillwell-Salesforce-	Doren Dep Ex 107 AFSI10563-10570	Confidential

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66.	Ameriprise Governance Hire Exception for Adam Kay (Stillwell)	AFSI003918-3933	Confidential
67.	Dawson 6-24-4 email to Milton re client welcome list	AFSI0038722-26	Confidential
68.	Cardinal 8-4-16 email to Taubman re Due Diligence Call Summary	Taubman Dep Ex 22 AMP-Taubman599-601	Confidential
69.	J. Stillwell Dep Excerpts	82, 163-169	Confidential
70.	Garrett 8-22-16 email to Taubman re meeting with McGill 8-29	McGill Dep Ex 142 AMPTaubman715-719	Confidential
71.	Ameriprise Offer Letters	McGill Dep Ex. 140 AMP382-407 Vinson Dep Ex 10 AFSI27355-37259 AFSI6764	Confidential
72.	Furlong fax to Vinge 10-14-11 re Caruso signed offer	Vinson Dep Ex 8 AFSI1843-1847	Confidential
73.	Hudson 3-13-17 email to Vinnik re AFG Daily Update - Taubman	Hudson Dep Ex 163 AFSI8758-8762	Confidential
74.	Hudson 3-15-17 email to Vinnik re AFG Daily Update - Taubman	Hudson Dep Ex 171 AFSI8763-8767	Confidential
75.	Hudson 3-16-17 email to Vinnik re AFG Daily Update - Taubman	Hudson Dep Ex 172 AFSI8768-8774	Confidential
76.	Oliera 1-5-17 email to Ward re rough script	Mostrom Dep Ex 17 AFSI27173-27175	Confidential
77.	Kaye 4-10-15 email to McAllister re Script	Mostrom Dep Ex 18 AFSI29875-29876	Confidential
78.	Smith 9-11-15 email to Cohen re Stillwell licenses	Smith Dep Ex 116 AMP1110	Confidential
79.	Calendar invite Onsite call Prep for Stillwell team	Cohen Dep Ex 22 Stillwell1908-1910	
80.	Minton 2-23-17 email to Taubman re discussing AFA comp scenarios	AMP-Taubman1478-79	Confidential
81.	C. Lancaster Affidavit	ECF 15-2	
82.	Stillwell Summary Judgment Opinion	DKT112	
83.	Taubman Client Lists	Taubman-Larsen3271-3273	Confidential
84.	Stillwell Client Lists	Francy Dep. Exs. 46-48	Confidential
85.	Olvera Client List	AFSI0038731	Confidential

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86.	Stillwell Resignation Letter	Allstate207	
87.	Cohen 9-2-15 email to Francy re Canceled Transition Call for Stillwell	AFSI38722-26	Confidential
88.	Dawson Client List	AFSI38727	Confidential
89.	Stillwell/Francy Screenshots	Francy Dep Ex 53-59	Confidential
90.	Taubman Thumbdrive lists	Taubman-Larsen3188-3189	Confidential
91.	Minton 2-22-17 email to Taubman re client profile form	AFSI22152-53	Confidential
92.	Francy 9-8-15 email re onsite call prep for Stillwell	AFSI12646-48	Confidential
93.	Byrnes Affidavit		
94.	Hanson McClain Opinion - McLain v. Chandler et al Case 2014-00168490		
95.	Stillwell EAR Tracking Log	AFSI34737	Confidential
96.	Taubman EAR Tracking Log	AFSI34742	Confidential
97.	Hudson 3-19-17 email to Vinnik re AFG Final Update - Taubman	Hudson Dep Ex 173 AFSI8785-8791	Confidential
98.	Barker 8-19-16 email to Roquette - Trahan write-up	AFSI39121-39126	Confidential
99.	Taubman 4-5-17 email to Casper re ACAT Transfer Forms	Casper Dep Ex 192 AFSI8697-8698	Confidential
100.	Minton 2-13-17 email to Taubman re Transition Call Summary	McGill Dep Ex. 159 AMP-Taubman1330-1334	Confidential